



Atty. Docket No. 305T-900500US

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

Applicant or Patentee: Osamu Tetsu, Kenichi Wakita, and Frank McCormick
Application or Patent No.: 09/687,593
Filed or Issued: October 13, 2000
Title: MAMMALIAN TWO-HYBRID SYSTEM FOR SCREENING FOR MODULATORS OF THE ACCUMULATION OF METABOLIC PRODUCTS

I hereby declare that I am:

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the owner of the small business concern identified below:
an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: The Regents of the University of California
Address of Small Business Concern: 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **MAMMALIAN TWO-HYBRID SYSTEM FOR SCREENING FOR MODULATORS OF THE ACCUMULATION OF METABOLIC PRODUCTS** by inventor(s) **Osamu Tetsu, Kenichi Wakita, and Frank McCormick** described in:

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the specification filed herewith.
Application No. 09/687,593, filed October 13, 2000.
Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name _____
Address _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name _____
Address _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Susan Nakashima

Title of Person if Other than Owner: Business Manager

Address of Person Signing: UCSF Office of Technology Management, San Francisco, CA

Signature *Susan Nakashima* Date 3/7/01

ATTESTATION

The undersigned hereby deposes and attest:

that Susan Nakashima is duly authorized by The Regents of the University of California to execute documents related to the filing and prosecution of United States and Foreign utility and design Patent Applications, and related forms of protection of industrial property worldwide, including but not limited to powers of attorney, various declarations, terminal disclaimers, certification 37, assignments - U.S. and Foreign, licenses to Federal government agencies, Chapter I and II Demands and all related PCT documents, as well as technical questionnaires, and small entity status declarations;

that the legal capacity of said person is legitimate and in accordance with the instruments and documents presented to me which constitute direct and positive evidence of legal capacity above mentioned; that I carefully examined these instruments and that the formal requirements provided therein have been fulfilled so as to prove the legal capacity of said person. The documents and records examined and which constitute direct and positive evidence of the legal capacity of said person is as follows:

Standing Order 100.4 as adopted by The Regents of the University of California at a duly called meeting on January 21, 1983, in the city of San Francisco, County of San Francisco, State of California, which delegates authority to the President of the university for administration of intellectual property, and

Redelegation of authority by the President to the Vice President-Financial and Business Management on January 28, 1983, and

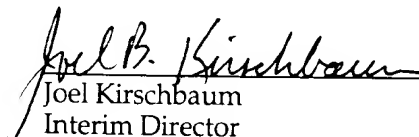
Redelegation of authority by Vice President-Business and Finance to the Chancellor, San Francisco campus on July 23, 1996, and

Redelegation of authority by the Chancellor, San Francisco campus to the Interim Director, Office of Technology Management on March 17, 1999, and

Redelegation of authority by the Interim Director, Office of Technology Management to Susan Nakashima, Business Manager, Office of Technology Management on June 27, 2000.

The undersigned further attests that The Regents of the University of California is a corporation duly organized and legally existing under the constitution of the State of California, United States of America and the purpose or purposes for which the present document is granted are comprised within the objects set forth in the charter of this corporation.

THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA


Joel Kirschbaum
Interim Director
Office of Technology Management

6/27/00
Date



Attorney Docket No.: 305T-900500US
Client Reference No.: SF2000-036

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **MAMMALIAN TWO-HYBRID SYSTEM FOR SCREENING FOR MODULATORS OF THE ACCUMULATION OF METABOLIC PRODUCTS** the specification of which ___ is attached hereto or x was filed on October 13, 2000 as Application No. 09 687,593.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Full Name of Inventor 1:	Full Name Osamu Tetsu		
Residence & Citizenship:	City: San Francisco	State Foreign Country: California	Country of Citizenship: Japan
Post Office Address:	Post Office Address: 2550 Geary Blvd. #405 San Francisco, CA 94115		
Full Name of Inventor 2:	Full Name: Kenichi Wakita		
Residence & Citizenship:	City: San Francisco	State Foreign Country: California	Country of Citizenship: Japan
Post Office Address:	Post Office Address: 3036 California Street San Francisco, CA 94115		
Full Name of Inventor 3:	Full Name: Frank McCormick		
Residence & Citizenship:	City: San Francisco	State Foreign Country: California	Country of Citizenship: United Kingdom
Post Office Address:	Post Office Address: 1870 Jackson Street San Francisco, CA 94109		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1

Osamu Tetsu

Osamu Tetsu

Date *3/9/01*

Signature of Inventor 2

Kenichi Wakita

Kenichi Wakita

Date *3/13/01*

Signature of Inventor 3

Frank McCormick

Frank McCormick

Date *3/9/2001*